

FORM PTO-1390  
(REV. 10-96)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/554,463

PRIORITY DATE CLAIMED

Nov. 11, 1997

INTERNATIONAL APPLICATION NO.

PCT/DE 98/03270

INTERNATIONAL FILING DATE

Nov. 10, 1998

TITLE OF INVENTION

Shoulder-belt-portion guiding assembly

APPLICANT(S) FOR DO/EO/US

Giok Djien Go

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☒ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☒ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ Other items or information:

Approved for use through 09/30/2000. OMB 0651-0032  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 C.F.R. § 1.16(c) or (d))		18 -20* =		x \$ _____ =	\$ _____
INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b) or (f))		1 -3** =		x \$ _____ =	\$ _____
MULTIPLE DEPENDENT CLAIMS (If applicable) (37 C.F.R. § 1.18(d))				+ \$ _____ =	\$ _____
				BASIC FEE (37 C.F.R. § 1.16)	740
				Total of above Calculations =	740
Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27 & 1.28).					
* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent.					
TOTAL =					370

## 6. Small entity status:

- a. ☒ A small entity statement is enclosed, if (b) and (c) do not apply.  
 b. ☒ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.  
 c. ☐ Is no longer claimed.

Extension fee 55  
 425

## 7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. \_\_\_\_\_:

- a. ☐ Fees required under 37 C.F.R. § 1.16.  
 b. ☐ Fees required under 37 C.F.R. § 1.17.  
 c. ☐ Fees required under 37 C.F.R. § 1.18.

Credit Card payment form

8. ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed.9. ☐ New Attorney Docket Number, if desired \_\_\_\_\_

(Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.)

- 10 a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)  
 b. ☐ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)

11. ☐ Other: \_\_\_\_\_**NOTE:**

The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

**12. NEW CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label

or ☐ New correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Giok Djien Go _____ Please mail to the following address, remaining unchanged, due to the German Postal Rule <b>Dr.-Ing. Giok Djien Go</b>				
Address	Pfahlgrabenstr. 45 D-65510 Idstein Germany				
City	Idstein	State		Zip Code	
Country	Germany	Telephone	+49 6126 8949	Fax	+49 6126 8949

**13. SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED**

Name (Print/Type)

Go

Signature

Go Djien Go

Registration No. (Attorney/Agent)

Date

Serial: 10. 340,740

PTO/SB/29 (8/98)  
Approved for use through 09/30/2000. OMB 0551-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.  
(Only for Continuation or Divisional applications under 37 C.F.R. § 1.53(d))

CHECK BOX, if applicable.

☐ DUPLICATE

Address to:

Assistant Commissioner for Patents  
Box CPA  
Washington, DC 20231

Attorney Docket No.  
of Prior Application

First Named Inventor

Giok-Djen

Examiner Name

Draper

Group / Art Unit

3616

Express Mail Label No.

This is a request for a ☐ continuation or ☒ divisional application under 37 C.F.R. § 1.53(d),  
(continued prosecution application (CPA)) of prior application number 09 / 554,463  
filed on 10/02/02, entitled Shoulder-belt-portion assembly

### NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. § 1.53(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. § 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. § 1.53(d), but must be filed under 37 C.F.R. § 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. § 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. § 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. § 1.78(g).

1. ☒ Enter the unentered amendment previously filed on 04/19/2000  
under 37 C.F.R. § 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53 (d)(4)
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:  
.....
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations

[Page 1 of 2]

**Burden Hour Statement:** This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231

09/554,463

PCT/IN 58/3270

17. ☐ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO ..... \$910.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
..... \$700.00No international preliminary examination fee paid to USPTO (37 CFR 1.482)  
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$770.00Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1040.00International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 740

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	- 20 =		X \$22.00
Independent claims	- 3 =		X \$80.00

\$

\$

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$260.00

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 740

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$

370

**SUBTOTAL =**

\$

370

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

+

**TOTAL NATIONAL FEE =**

\$

370

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

55

**TOTAL FEES ENCLOSED =**

\$

425

Amount to be:  
refunded

\$

charged

\$

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.b. ☒ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ 425 to cover the above fees.  
A duplicate copy of this sheet is enclosed.c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Dr.-Ing. Giok Djien Go  
Pfahlgrabenstr. 45  
D-65510 Idstein  
Germany

SIGNATURE

Giok Djien Go

NAME

REGISTRATION NUMBER

Dr. -Ing. Giok Djien Go  
Pfahlgrabenstr. 45  
D-65510 Idstein  
Germany

phone/fax +49 6126 8949

Commissioner for Patents  
P.O.Box 1450  
Alexandria, VA 2313-1450

09/554,463 subdivided into "Shoulder-belt-portion assembly"  
Deadline on Sept. 27; One-month extension  
My ref. G6A1

Dear Sirs,

Oct. 1, 2003

In ref. to my facsimile of 09/14, disclosing the consent of Mr. Dickson on one-month extension for a review of my divisional applications by Mr. Stuart Forbes, Oxford Graduate, I file to you

- CPA form, US-Paper forms;
- marked-up version in double space;
- reference cited US No. 5,570,933, Amendment, List of cited reference; drawings;
- clean version incl. Claims with reference number in single space;
- Claims with reference number in double space and
- Claims without reference number in single space

Please fill in the total amount in the enclosed Credit card payment form and debit against my credit card while mentioning my ref. G6A1 in order to follow up.

Thank you in advance.

kind regards

*Go giok djien*  
Go

Enclosed

My ref. G6A1

List of cited reference

<i>Document</i>	<i>mm/dd/yyyy</i>	<i>Inventor</i>	<i>Classification</i>
US 5,570,933	11/22/1994	Stephen, W. Rouhana et. Al	297/483

Amendment

Previous Figs.	Previous ref. numbers	Current Figs.	Current ref. numbers
10	3.6a, aperture, free region	3	3.6, 5.9, 3.22
9		2	5.10

Dr.-Ing. Gök Djen  
Pfahlgrabenstr. 45  
D-65510 Idstein  
Germany

DAT.	MODUS	OPTION	ADRESSE (GRUPPE)	ERGEBNIS	SEITE
736	SPEICHER	SENDEN	0017038729326	OK	S. 1/1

Mr. Paul N. Dickson  
USPTO  
Art Unit 3616

Fax +1 703 872 9326

Giok Djien Go  
Pfahlgrabenstr. 45  
D-65510 Idstein

phone/fax +49 6126 8949

09/554,463  
Deadline on Sept. 27

Dear Mr. Dickson,

Sept. 14, 2003

In regard with my inquiries by phone on Sept. 11 and with my facsimile of Sept. 9 you gave me your consent on my following plans:

- I must pay an extension fee if the deadline is extended till Oct. 27. due to the review.
- I may subdivide the US Appl. 09/554,463 into four, one of them, which, based on the Species I or II, has a larger number of claims, will be forwarded to Mrs. Draper and the remaining three will be filed to the USPTO. I give you the assurance of rewriting the Claims pursuant to the US Patent Rules.

Thank you in advance.

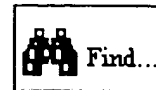
kind regards

Go

*Giok Djien Go*

Search by

Accounting Date: \_\_\_\_\_ Operator ID: \_\_\_\_\_  
(MMDDYY)



Name/Number: 09554463  
 Attorney Dock # : \_\_\_\_\_  
 Deposit Account No.: \_\_\_\_\_

Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acc
10/24/2003	PALLEN	1	SALE	2251	A	55.00	09554463	
05/16/2002	LLANDGRA	80	ADJ	198	A	-1,388.00	09554463	
04/26/2002	AYILMAZ	4	SA	999	A	612.00	09554463	
04/26/2002	AYILMAZ	3	ADJ	967	A	-189.00	09554463	
04/26/2002	AYILMAZ	2	ADJ	965	A	-78.00	09554463	
04/26/2002	AYILMAZ	1	ADJ	959	A	-345.00	09554463	
04/26/2002	TLUU11	10	SALE	202	A	78.00	09554463	
04/26/2002	TLUU11	9	SALE	203	A	189.00	09554463	
04/26/2002	TLUU11	8	SALE	201	A	345.00	09554463	
04/26/2002	TLUU11	7	SA	999	A	-612.00	09554463	
06/22/2000	WCI AYRRN	29	SALE	198	R	1,388.00	09554463	



Adjustment Detail

Authorization Detail

